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3764

Patent
Attorney's Docket No. 018961-054

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

113

In re Patent Application of)
Ryota SUGIMOTO) Group Art Unit: 3764
Application No.: 09/870,672) Examiner: F. Mathew
Filed: June 1, 2001) Confirmation No.: 8651
For: IMPLANTABLE TUBULAR DEVICE)

**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

RECEIVED
SEP 17 2003
TECHNOLOGY CENTER R3700

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [] The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☒ [X] A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [] A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [] Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ [] A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: September 15, 2003

P. O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

By: Matthew L. Schneider
Matthew L. Schneider
Registration No. 32,814



Patent
Attorney's Docket No. 018961-054

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FIRST INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In accordance with 37 C.F.R. § 1.56, the following information is brought to the Examiner's attention.

The following four documents were cited in a Search Report recently issued by the European Patent Office in connection with a corresponding foreign application. A copy of the Search Report is enclosed.

International Patent Publication No. WO 99/23977.

International Patent Publication No. WO 01/00112.

European Patent Publication No. 0 950 386.

European Patent Publication No. 1 088 528.

I hereby certify that each item of information contained in this Information Disclosure Statement was cited for the first time in a communication from any foreign

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patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

To assist the Examiner in entering the foregoing information into the record, the above-noted documents are cited on the attached form PTO-1449 and copies of the documents are enclosed.

The Examiner is respectfully requested to consider the foregoing information and to acknowledge such consideration by initialling, signing and returning the enclosed additional copy of form PTO-1449.

Respectfully submitted,

BURNS, DOANE, SWECKER, & MATHIS, L.L.P.

Date: September 15, 2003

By: Matthew L. Schneider
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Substitute for forms 1449A/PTO & 1449B/PTO

ATTORNEY'S DKT No.
018961-054

APPLICATION No.
09/870,672

**FIRST INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

APPLICANT
Ryota SUGIMOTO

FILING DATE
June 1, 2001

GROUP
3764

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation	
					Yes	No
	WO 99/23977	A1	INTERNATIONAL	5-20-1999		
	WO 01/00112	A1	INTERNATIONAL	1-04-2001		
	0 950 386	A2	EUROPE	10-20-1999		
	1 088 528	A1	EUROPE	9-25-2000		

NON PATENT LITERATURE DOCUMENTS

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Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.